

## ENFORCEMENT

Purpose: The purpose of Enforcement is to ensure the implementation of the elements associated with the Quality Assurance System for the Division of Workforce Education. Enforcement activities are in place to ensure that grants and contracts are implemented in a timely and ethical manner, in full compliance with regulatory requirements, to support the purpose and goals of the grant.

### ENFORCEMENT ACTIVITIES

- Communication with Governing Boards: Communication with governing Boards may be required to focus on the need for immediate and systemic change to continue eligibility for grant.
- Regular Monitoring/Reporting: For a specific period of time, the provider's activities will be monitored on a regular basis; provider may be required to submit regular and frequent reports.
- Grant Conditions Restriction(s) placed on a specific grant as a result of monitoring activities; conditions may include such actions as directed activities, structured spending, and increased reporting.
- Funding Strategies Actions taken in regard to the selected provider's funding; may include a range of interventions from directed funding, change in method of reimbursement, to withholding of funds.
- State Plans The State Plans may address additional enforcement activities.
- General Assurances, Terms, and Conditions for Participation in Federal and State Programs This document that must be signed by all agencies and organizations that receive federal or state funds may address enforcement activities.
- 34 CFR 80.51 This section of EDGAR addresses enforcement activities which may be applied to certain grants.

### INVESTIGATIONS

In response to expressed concerns or complaints, investigations may be conducted in regard to grant(s) administration or implementation by providers. Such activities will be completed in concert with, and reported to, other Department offices as appropriate.

### CLOSEOUTS

Providers must submit final reports upon the expiration or termination of a grant. Reports may address performance and financial reports, inventory and disposition of equipment, record retention and / or additional elements requested by the Department. 34 CFR 80.50

## **EVALUATION SYSTEM**

Purpose: The purpose of an Evaluation System is to review the components and implementation of the Quality Assurance System, including monitoring activities.

To support continuous improvement, the Quality Assurance team will review any input that is given by stakeholders and providers monitored to make adjustments or changes to the system. As strategies and protocols are used, the team may identify changes that will improve the system.

As the Quality Assurance System is expanded over time, the processes and procedures used internally to administer grants and programs will be evaluated. Various tools may be used including such evaluation tools accessible through federal agencies.

A report of all evaluation results will be forwarded to the Workforce Education Leadership Team and the Stakeholders' Advisory Council.